

21 April 2022

Madeleine Green  
Adviser, Listings Compliance (Perth)  
ASX Limited  
Level 40, Central Park  
152-158 St Georges Terrace  
Perth WA 6000

By email: [ListingsCompliancePerth@asx.com.au](mailto:ListingsCompliancePerth@asx.com.au)

Dear Madeleine

**Aruma Resources Limited (Aruma or the Company)  
Price and Volume Query**

We refer to your letter of 19 April 2022 regarding an ASX Price and Volume Query (“Price Query”).

In relation to the questions in the Price Query the Company advises:

1. & 2. The Company notes:

- the announcement released 2 March 2022 regarding the completion of the first pass drilling of 8 holes for 1,156m of a planned 3,000m RC drilling program on the Mt Deans Lithium Project and possible investor anticipation of the release of the drilling results as well as general market interest in lithium projects; and
- the release earlier today with the results of that first-phase of drilling. The assay results were received by the Company on the afternoon of 14 April 2022 (immediately prior to the Easter break) and the Company’s staff and consultants have followed the normal course of compilation and interpretation of the results prior to the preparation and approval of a release of the results to the market. In respect of maintaining confidentiality, assay results are sent by the laboratory to the Managing Director and Exploration Manager and if required provided to relevant geological staff or consultants for their technical input on the interrogation and interpretation of the results. Relevant confidentiality protocols and governance procedures are required to be adhered to by the relevant staff and consultants in dealing with confidential information such as assay results and the Company is not aware of any breaches of confidentiality.

The Company is not aware of any other information concerning it that has not been announced to the market, which if known by some in the market, could explain the recent trading in the securities.

3. The Company is not aware of any other explanations for the recent trading in the securities of the Company.

4. The Company confirms that it is in compliance with the listing rules and, in particular, listing rule 3.1.

5. The Company confirms that this response has been authorised and approved by an officer of Aruma with delegated authority from the Board to respond to ASX on disclosure matters.

Yours sincerely

A handwritten signature in black ink, appearing to read "Phillip J MacLeod".

Phillip MacLeod  
Company Secretary



19 April 2022

Mr Phil MacLeod  
Company Secretary  
Aruma Resources Limited

By email: pmacleod@gapcs.com.au

Dear Mr MacLeod

### **Aruma Resources Limited ('AAJ'): Price Query**

ASX refers to the following:

- A. The change in the price of AAJ's securities from a low of \$0.14 at the close of trade on Thursday, 14 April 2022 to a high of \$0.195 today, Tuesday 19 April 2022.
- B. The significant increase in the volume of AAJ's securities traded from today, Tuesday, 19 April 2022.

### **Request for information**

In light of this, ASX asks AAJ to respond separately to each of the following questions and requests for information:

1. Is AAJ aware of any information concerning it that has not been announced to the market which, if known by some in the market, could explain the recent trading in its securities?
2. If the answer to question 1 is "yes".
  - (a) Is AAJ relying on Listing Rule 3.1A not to announce that information under Listing Rule 3.1? Please note that the recent trading in AAJ's securities would suggest to ASX that such information may have ceased to be confidential and therefore AAJ may no longer be able to rely on Listing Rule 3.1A. Accordingly, if the answer to this question is "yes", you need to contact us immediately to discuss the situation.
  - (b) Can an announcement be made immediately? Please note, if the answer to this question is "no", you need to contact us immediately to discuss requesting a trading halt (see below).
  - (c) If an announcement cannot be made immediately, why not and when is it expected that an announcement will be made?
3. If the answer to question 1 is "no", is there any other explanation that AAJ may have for the recent trading in its securities?
4. Please confirm that AAJ is complying with the Listing Rules and, in particular, Listing Rule 3.1.
5. Please confirm that AAJ's responses to the questions above have been authorised and approved under its published continuous disclosure policy or otherwise by its board or an officer of AAJ with delegated authority from the board to respond to ASX on disclosure matters.

### **When and where to send your response**

This request is made under Listing Rule 18.7. Your response is required as soon as reasonably possible and, in any event, by no later than **12: 00 PM AWST Tuesday, 19 April 2022**. You should note that if the information requested by this letter is information required to be given to ASX under Listing Rule 3.1 and it does not fall within the exceptions mentioned in Listing Rule 3.1A, AAJ's obligation is to disclose the information

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'immediately'. This may require the information to be disclosed before the deadline set out in the previous paragraph and may require AAJ to request a trading halt immediately.

Your response should be sent to me by e-mail at **ListingsCompliancePerth@asx.com.au**. It should not be sent directly to the ASX Market Announcements Office. This is to allow me to review your response to confirm that it is in a form appropriate for release to the market, before it is published on the ASX Market Announcements Platform.

### **Trading halt**

If you are unable to respond to this letter by the time specified above, or if the answer to question 1 is "yes" and an announcement cannot be made immediately, you should discuss with us whether it is appropriate to request a trading halt in AAJ's securities under Listing Rule 17.1. If you wish a trading halt, you must tell us:

- the reasons for the trading halt;
- how long you want the trading halt to last;
- the event you expect to happen that will end the trading halt;
- that you are not aware of any reason why the trading halt should not be granted; and
- any other information necessary to inform the market about the trading halt, or that we ask for.

We require the request for a trading halt to be in writing. The trading halt cannot extend past the commencement of normal trading on the second day after the day on which it is granted. You can find further information about trading halts in Guidance Note 16 *Trading Halts & Voluntary Suspensions*.

### **Suspension**

If you are unable to respond to this letter by the time specified above, ASX will likely suspend trading in AAJ's securities under Listing Rule 17.3.

### **Listing Rules 3.1 and 3.1A**

In responding to this letter, you should have regard to AAJ's obligations under Listing Rules 3.1 and 3.1A and also to Guidance Note 8 *Continuous Disclosure*: Listing Rules 3.1 – 3.1B. It should be noted that AAJ's obligation to disclose information under Listing Rule 3.1 is not confined to, nor is it necessarily satisfied by, answering the questions set out in this letter.

### **Release of correspondence between ASX and entity**

We reserve the right to release a copy of this letter, your reply and any other related correspondence between us to the market under Listing Rule 18.7A.

### **Questions**

If you have any questions in relation to the above, please do not hesitate to contact me.

Yours sincerely

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**Madeleine Green**  
Principal Adviser, Listings Compliance (Perth)